



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(21) International Application Number:</b> PCT/US99/25974 <b>(22) International Filing Date:</b> 4 November 1999 (04.11.99) <b>(30) Priority Data:</b> 60/107,235 5 November 1998 (05.11.98) US 60/108,979 18 November 1998 (18.11.98) US <b>(71) Applicant (for all designated States except US):</b> THE DOW CHEMICAL COMPANY [US/US]; 2030 Dow Center, Midland, MI 48674 (US). <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> CHOU, Chai-Jing [US/US]; 1422 Bluestone Drive, Missouri City, TX 77549 (US). GARCIA-MEITIN, Eddy, I. [US/US]; 36 Colony Square, Angleton, TX 77515 (US). <b>(74) Agent:</b> STEVENS, Timothy, S.; Patent Department, 2030 Dow Center, Midland, MI 48674 (US).		<b>(81) Designated States:</b> AE, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CR, CU, CZ, DE, DK, DM, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>Before the expiration of the time limit for amending the</i> <i>claims and to be republished in the event of the receipt of</i> <i>amendments.</i>
<b>(54) Title:</b> NANOCOMPOSITE		
<b>(57) Abstract</b> <p>The instant invention in one embodiment is a process for producing a nanocomposite polymer by dispersing a polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material into a thermoplastic polymer. The process includes the step of mixing the polyvalent anionic polymer edge coated quaternary ammonium intercalated multi-layered silicate material with the thermoplastic polymer at a temperature greater than the melting or softening point of the thermoplastic polymer. The instant invention in another embodiment is a process for producing a nanocomposite polymer by dispersing a polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material into a thermoset polymer. The process of this embodiment includes the steps of: (a) mixing the polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material with a thermoset prepolymer; and (b) curing the thermoset prepolymer to set the thermoset polymer. The instant invention in yet another embodiment is a composition including (a) a polymer; and (b) a multi-layered silicate material dispersed in the polymer, the multi-layered silicate material having edges, at least a portion of the edges of the multi-layered silicate material being bound to a polyvalent anionic organic material. The instant invention in further yet another embodiment is a process for producing a nanocomposite polymer, including the steps of: (a) mixing a polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material with a monomer; and (b) polymerizing the monomer.</p>		

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## INTERNATIONAL SEARCH REPORT

Name J Application No

PCT/US 99/25974

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 C08J3/20 C08K9/04 C08K9/08

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 C08J C08K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 4 558 075 A (SUSS NAOMI R ET AL) 10 December 1985 (1985-12-10) claims 1,2	10, 12, 13
A	WO 93 04117 A (ALLIED SIGNAL INC) 4 March 1993 (1993-03-04) claim 10	1
A	EP 0 459 472 A (TOYODA CHUO KENKYUSHO KK) 4 December 1991 (1991-12-04) claims 8-11	16-18



Further documents are listed in the continuation of box C.



Patent family members are listed in annex.

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# INTERNATIONAL SEARCH REPORT

Information on patent family members

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Patent document cited in search report		Publication date	Patent family member(s)	Publication date
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## NANOCOMPOSITE

This invention relates to polymers reinforced with delaminated or exfoliated multi-layered silicates, that is, nanocomposite polymers.

Nanocomposite polymers are compositions comprising a relatively high number  
5 (but relatively low weight) of preferably single layers of exfoliated silicate material dispersed in a given volume of continuous polymer matrix. United States Patent 5,717,000 to Seema V. Karande, Chai-Jing Chou, Jitka H. Solc and Kyung W. Suh, and United States Patent Application Serial Number 034,620 filed December 31, 1996. As discussed in the '000 patent and as is well known in the art, nanocomposite polymers exhibit many increased physical  
10 property enhancements at a much lower weight percent of filler than conventionally filled polymers. Other United States Patents disclosing nanocomposites include 4,810,734 and 3,516,959. Edge coating of multi-layer silicate material is known, see United States Patent 4,434,075.

However, it can be difficult to get the multi-layer silicate material to exfoliate  
15 into the polymer.

The instant invention is a solution, at least in part, to the above stated problem. In one embodiment, the instant invention is a process for producing a nanocomposite polymer by dispersing a polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material into a thermoplastic polymer. The  
20 process comprises the step of: mixing the polyvalent anionic polymer edge coated quaternary ammonium intercalated multi-layered silicate material with the thermoplastic polymer at a temperature greater than the melting or softening point of the thermoplastic polymer.

The instant invention in another embodiment is a process for producing a  
25 nanocomposite polymer by dispersing a polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material into a thermoset polymer. The process of this embodiment comprises the steps of: (a) mixing the polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material with a thermoset prepolymer; and (b) curing the thermoset prepolymer to set the thermoset polymer.

30 The instant invention in yet another embodiment is a composition comprising: (a) a polymer; and (b) a multi-layered silicate material dispersed in the polymer, the multi-layered silicate material having edges, at least a portion of the edges of the multi-layered silicate material being bound to a polyvalent anionic organic material.

The instant invention in further yet another embodiment is process for

producing a nanocomposite polymer, comprising the steps of: (a) mixing a polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material with a monomer; and (b) polymerizing the monomer.

Montmorillonite clay (a multi-layered silicate material) is stirred in water with an  
5 excess of 3,400 molecular weight sodium polyacrylate (a polyvalent anionic copolymer of  
mole ration 1:1 of ethylene and acrylic acid) available from the Rhone-Poulenc Company to  
edge treat the clay. The edge treated clay is then stirred with an excess of a mixed  
quaternary ammonium compound (68 percent bis hydroxyethyl, dodecyl, methyl-quaternary  
10 ammonium compound and 32 percent bis hydroxy C-6 to C-9, dodecyl, methyl-quaternary  
ammonium compound) to produce a polyacrylate edge coated quaternary ammonium  
intercalated montmorillonite. The polyacrylate edge coated quaternary ammonium  
intercalated montmorillonite is washed with water and dried. Ninety five parts of ethylene  
adipate thermoplastic polyurethane (available from The Dow Chemical Company) is melted  
(or softened) in a polymer mixer at 160 degrees Celsius at 200 rpm. Five parts of the dried  
15 polyacrylate edge coated quaternary ammonium intercalated montmorillonite, as described  
above in this paragraph, is added to the mixer and mixed for five minutes. Transmission light  
microscopic examination of the product shows significantly fewer one hundred micrometer  
sized clay clusters relative to the use of non-edge coated material. Transmission electron  
microscopic examination of the product shows single and multiple layer exfoliation of the  
20 silicate layers of the montmorillonite. The layers are counted in a representative view. Most  
preferably, more of the layers are present as single layers than are present as multiple layers.  
In any event the dispersion of the layers into the polymer is improved using the instant  
invention relative to the use of a non-edge-coated material.

Polyvalent anionic organic materials are organic chemicals that have more than  
25 one carboxylic acid or other anionic substituant such as a sulfonate or a phosphonate.  
Preferably, the polyvalent anionic organic material is a polyvalent anionic polymer. Most  
preferably, the polyvalent anionic organic material is polyacrylic acid. However, the specific  
polyvalent anionic organic material used in the instant invention is not critical and can include,  
without limitation thereto, for example, copolymers of styrene and acrylic acid or styrene and  
30 sulfoethylmethacrylate.

The above referred to '000 patent and the '620 patent application list  
exemplary multi-layered silicate materials required in the instant invention. For example, the  
multi-layered silicate material can be, without limitation thereto: montmorillonite; nontronite;  
beidellite; volkonskoite; hectorite saponite; sauconite; magadiite; medmontite; kenyaite;

laponite, mica, fluoromica and vermiculite. The above referred to '000 patent and '620 patent application also lists exemplary onium or quaternary ammonium compounds required in the instant invention. For example, the onium compound can be, without limitation thereto, quaternary ammonium compounds having octadecyl, hexadecyl, tetradecyl or dodecyl moieties. However, the specific multi-layered silicate material or onium compound used in the instant invention is not critical.

However, it should be understood that it is preferable to use polar substituted quaternary ammonium compounds with relatively polar polymers such as nylons and polyurethanes. Similarly, it is preferable to use non-polar substituted quaternary ammonium compounds with relatively non-polar polymers such as polypropylene and polyethylene. The terms "polar" and "non-polar" are used in their conventional sense. For example, a polar substituted quaternary ammonium compound is a quaternary ammonium compound having a hydroxy ethyl (C<sub>2</sub>OH) or hydroxy hexyl (C<sub>6</sub>OH) substituent(s).

The selection of a preferred quaternary ammonium compound is aided by comparing the electron photomicrographs of the nanocomposites made using the quaternary ammonium compounds being tested in the instant invention to determine which quaternary ammonium compound(s) give the greatest degree of exfoliation of the multi-layered silicate. Of course, physical property improvement of the nanocomposite v. the base polymer is the final objective of the instant invention but such improvement is often a function of the degree of exfoliation of the multi-layered silicate.

In addition to mixing the polyvalent anionic organic quaternary ammonium intercalated multi-layered silicate material with a molten thermoplastic polymer, the instant invention also includes mixing the polyvalent anionic organic quaternary ammonium intercalated multi-layered silicate material with a monomer(s) or thermoset prepolymer(s) followed by the polymerization of the monomer(s)/prepolymer(s).

1. A process for producing a nanocomposite polymer by dispersing a polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material into a thermoplastic polymer, the process comprising the step of: mixing the polyvalent anionic polymer edge coated quaternary ammonium intercalated multi-layered silicate material with the thermoplastic polymer at a temperature greater than the melting or softening point of the thermoplastic polymer.

2. The process of Claim 1, wherein the thermoplastic polymer is selected from the group consisting of a thermoplastic urethane, a thermoplastic epoxy, a thermoplastic polyester, a thermoplastic nylon, a thermoplastic polycarbonate; and blends thereof.

3. The process of Claim 1 or Claim 2, wherein the polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material exfoliates to produce single layers of silicate material and multiple layers of silicate material, the weight percent of the single layers of silicate material being greater than the weight percent of the multiple layers of silicate material.

4. The process of Claim 1 or Claim 3, wherein the thermoplastic polymer is a blend of thermoplastic polymers.

5. A process for producing a nanocomposite polymer by dispersing a polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material into a thermoset polymer, the process comprising the steps of:

(a) mixing the polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material with a thermoset prepolymer;

(b) curing the thermoset prepolymer to set the thermoset polymer.

6. The process of Claim 5, wherein the thermoset polymer is selected from the group consisting of a thermoset epoxy, a thermoset phenolic, a thermoset urethane, a thermoset rubber and blends thereof.

7. The process of Claim 5 or Claim 6, wherein the polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material exfoliates in step (a) to produce single layers of silicate material and multiple layers of silicate material, the weight percent of the single layers of silicate material being greater than the weight percent of the multiple layers of silicate material.

8. The process of Claim 5 or Claim 7, wherein the thermoset polymer is a blend of thermoset polymers.



9. The process of Claim 1, wherein the thermoplastic polymer is selected from the group consisting of polypropylene, polyethylene, polystyrene, polystyrene copolymers, acrylic polymers, acetyl polymers and thermoplastic elastomers and blends thereof.

10. A composition comprising:

(a) a polymer; and

(b) a multi-layered silicate material dispersed in the polymer, the multi-layered silicate material having edges, at least a portion of the edges of the multi-layered silicate material being bound to a polyvalent anionic organic material.

11. The composition of Claim 10, wherein at least about one half of the edges of the multi-layered silicate material are bound to the polyvalent anionic organic material.

12. The composition of Claim 10 or Claim 11, wherein the polymer is selected from the group of thermoplastic polymers and thermoset polymers and blends thereof.

13. The composition of Claim 12, wherein the thermoplastic polymers and thermoset polymers are selected from the group consisting of a thermoplastic urethane, a thermoplastic epoxy, a thermoplastic polyester, a thermoplastic nylon, a thermoplastic polycarbonate, polypropylene, polyethylene, polystyrene, polystyrene copolymers, acrylic polymers, acetyl polymers, thermoplastic elastomers, thermoset epoxy, a thermoset phenolic, a thermoset urethane, a thermoset rubber and blends thereof.

14. The process of Claims 1-9, wherein the polyvalent anionic organic is a polyacrylate.

15. The composition of Claims 10-13, wherein the polyvalent anionic organic is a polyacrylate.

16. A process for producing a nanocomposite polymer, comprising the steps of:

(a) mixing a polyvalent anionic organic edge coated quaternary ammonium intercalated multi-layered silicate material with a monomer; and

(b) polymerizing the monomer.

17. The process of Claim 16, wherein the monomer is a blend of monomers.

18. The process of Claim 16, wherein the polymer is selected from the group consisting of a thermoplastic urethane, a thermoplastic epoxy, a thermoplastic polyester, a thermoplastic nylon, a thermoplastic polycarbonate, polypropylene, polyethylene, polystyrene, polystyrene copolymers, acrylic polymers, acetyl polymers, thermoplastic elastomers,